

Applicants: Sylvia G. Kachalsky et al.  
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In the Figures:

Please replace Figure 1 as filed with corrected Figures 1A-1C attached hereto as **Exhibit A**.

Please replace Figure 3 as filed with corrected Figures 3A-3C attached hereto as **Exhibit B**.

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**Remarks**

Claims 1-23 are pending in the subject application. Applicants have hereinabove canceled claims 2 and 3 without disclaimer or prejudice, and amended claims 1, 4, 5, 6, 7, 11 and 12. Support for the amendment to claim 1 may be found *inter alia* on page 5, lines 26-30; and page 6, lines 1-18. The amendments to claims 4-7 are made to conform the language of these claims to the scope of claim 1 as amended. Support for the amendments to claims 11 and 12 may be found *inter alia* on page 7, line 2, line 6 and line 12; page 8, line 4, line 10, line 17, line 20 and line 23; page 10, line 27; and page 11, line 7 and lines 13-15. Applicants maintain that none of the amendments to the claims raises an issue of new matter. Therefore, entry of this amendment is respectfully requested. Upon entry of this amendment, claims 1, 4-7, 11 and 12 as amended will be pending and under consideration.

**Claim Rejections Under 35 U.S.C. §101**

On page 3 of the July 17, 2006 Office Action, the Examiner rejected claims 11 and 12 because the claimed invention is allegedly directed to non-statutory subject matter. The Examiner stated that the claims fail to include any limitations which would distinguish the claimed polynucleotides from those which occur in nature. The Examiner stated that in the absence of the hand of man, the naturally occurring nucleic acid molecules and proteins are considered non-statutory subject matter. The Examiner asserted that filing of evidence of a new utility imparted by the increased purity of the claimed invention and amendment of the claims to recite a purity limitation, if supported by the specification, is suggested to obviate this rejection.

In response, but without conceding the correctness of the

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Examiner's ground of rejection, applicants note that, as amended, claims 11 and 12 recite "a purified polynucleotide." In addition, as discussed further below the polynucleotides of claims 11 and 12 as amended here, have utility *inter alia* for diagnosis of stroke. Accordingly, applicants maintain that amended claims 11 and 12 satisfy the requirements of 35 U.S.C. §101, and request that the Examiner reconsider and withdraw this ground of rejection.

On pages 3-4 of the July 17, 2006 Office Action, the Examiner further rejected claims 1-9, 11 and 12 because the claimed invention allegedly is not supported by either a specific and substantial credible asserted utility or a well-established utility. The Examiner further alleged that the instant application has provided a description of an isolated DNA encoding a protein and protein encoded thereby. The Examiner further indicated that the instant application does not disclose a specific biological role for this protein or its significance to a particular disease, disorder or physiological process, which one would wish to manipulate for a desired clinical effect.

The Examiner indicated that, in the instant application, it is clear that the protein described therein is what is termed an "orphan protein" in the art. The DNA of the instant application has been isolated because of its similarity to a known DNA. The Examiner further indicated that there is little doubt that, after complete characterization, this DNA and encoded protein may be found to have a specific and substantial credible utility. The further characterization if part of the act of invention and until it has been undertaken, applicants' claimed invention is incomplete.

Further on pages 4-5 of the July 17, 2006 Office Action, the Examiner alleged that, the instant claims are drawn to an

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isolated nucleic acid molecules encoding a polypeptide of as yet undetermined function or biological significance. The Examiner indicated that, in the instant specification, the claimed novel nucleic acid molecules of SEQ ID NO: 1 and SEQ ID NO: 3 encode a polypeptide designated STR50 which, on page 5 of the specification, is asserted to be "modulated as a result of neurotoxic stress". The Examiner noted that on page 8 of the Specification "the inventors suggest that STR50 is involved in the apoptosis of cells which accompanies neurotoxic events; it would therefore be beneficial to inhibit STR50 in diseases where such apoptosis is detrimental, and enhance STR50 in diseases where such apoptosis is beneficial". The Examiner further asserted that, in the instant specification, it is stated that the instant STR50 molecules can be used to treat different pathological conditions which include neurodegenerative diseases, ischemia, cardiac arrest, spinal cord trauma and metastatic or primary tumors. Finally, the Examiner indicated that the working examples present in the instant specification are limited to the disclosure of 1. isolation and purification of STR50; 2. pattern of upregulation of expression of STR50 during experimental shock/ischemic conditions; and 3. prophetic protocols explaining how to use STR50 gene or polypeptide in screening assays and pharmaceutical formations.

The Examiner further indicates on page 5 of the July 17, 2006 Office Action, that in the absence of knowledge of the biological significance of this specific nucleic acid and encoded protein, there is no immediately obvious patentable use for the polynucleotide or the encoded protein. The Examiner alleged that, the instant specification fails to provide any factual evidence or sound scientific reasoning to support a conclusion that the instant nucleic acid or encoded protein is associated with any disease or disorder, including pathological conditions specifically recited on pages 15-17 of the disclosure. The

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Examiner further alleged that, the instant application fails to demonstrate use of the claimed STR50 polynucleotides as a marker for any disease or condition. Because the instant specification does not teach a biological activity of the protein, which supports a practical utility, one would not reasonably believe that the administration of the STR50 encoded by the claimed nucleic acid molecules would prevent or treat a condition or disease, like for treating a neurodegenerative disease, stroke or cancer. The Examiner asserted that to employ a nucleic acid of the instant invention in any of the disclosed methods would clearly be using it as the object of further research, which alone does not support patentability. Therefore, since the instant specification does not disclose a credible "real world" use for the encoded protein in their currently available form, the claimed invention is incomplete and does not meet the requirements of 35 U.S.C. §101 as being useful.

In response, applicants respectfully traverse the Examiner's grounds of rejection.

First, applicants point out that at least one specific utility for applicants' polynucleotides as now claimed is for diagnosis. Applicants note that, contrary to the Examiner's assertion, the gene was not isolated based on sequence homology, but was experimentally identified as a gene which has differential expression in stroke, as noted in Example 1 of the specification. Expression of the STR50 gene expression arose after experiments which stimulate stroke, and the diagnostic utility of applicants' claimed polynucleotides for stroke is specific, substantial and credible. Further support for the diagnostic utility of the polynucleotides and polypeptides can be found on pages 19-20 of the specification.

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In view of the preceding remarks, applicants maintain that claims 1-9 and amended claims 11-12 satisfy the requirements of 35 U.S.C. §101 and request that this ground of rejection be reconsidered and withdrawn.

**Claim Rejections Under 35 U.S.C. §112, First Paragraph**

On page 6 of the July 17, 2006 Office Action, the Examiner rejected claims 1-9, 11 and 12 under 35 U.S.C. §112, first paragraph, as allegedly not enabled because the claimed invention is not supported by either a clear asserted utility or a well established utility for the reasons set forth above, and therefore one skilled in the art would not know how to use the claimed invention. The Examiner further rejected claims 2-5, 11 and 12, under 35 U.S.C. §112, first paragraph, as containing subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor(S) at the time the application was filed had possession of the claimed invention.

On page 7 of the July 17, 2006 Office Action, the Examiner indicated that claims 2-5 are directed to nucleic acids that are homologs of polynucleotides of SEQ ID NO: 1 and 3 or fragments 644 to 3109 of SEQ ID NO: 1 and SEQ ID NO: 3. The Examiner further stated that claims 11 and 12 encompass fragments of 10 to 766 or to 922 consecutive nucleotides within sequence of SEQ ID NO: 1 and SEQ ID NO: 3, respectively. The Examiner alleged that because the claims do not require that the polynucleotides or their fragments possess any particular conserved structure or other disclosed distinguishing feature, the claims are drawn to a genus of polynucleotides that is defined only by structural similarity. Further, the Examiner alleged that the instant application fails to describe the entire genus of nucleic acid molecules encompassed by claims 11 and 12.

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The Examiner indicated that from the specification it is clear that the applicants possess nucleic acid molecules which encode proteins which have either SEQ ID NO: 2 or 4 as the amino acid sequence. The nucleic acid molecules have a nucleic acid sequence of either SEQ ID NO: 1 or SEQ ID NO: 3, respectively. The Examiner asserted that the claims are drawn to nucleic acid molecules that are homologs and fragments of these disclosed polynucleotides of SEQ ID NO: 1 and SEQ ID NO: 3 and are therefore not limited to a nucleic acid with a specific sequence. The Examiner further asserted that the instant claims only require the claimed polynucleotides to share some degree of structural similarity to the polynucleotides of SEQ ID NO: 1 and SEQ ID NO: 3. However, the specification only describes a polynucleotide of SEQ ID NO: 1 and a polynucleotide of SEQ ID NO: 3 and therefore failsto teach or describe any other nucleic acid sequence which lacks the sequence of SEQ ID NO: 1 or SEQ ID NO: 3 and has any relevance to STR50 protein.

On pages 8-9 of the July 17, 2006 Office Action, the Examiner alleged that, in the instant case, the only factor present in the claims is a partial structure in the form or a recitation of "homology" or length of fragment and it is therefore unclear what region of the encoded polypeptide has the disclosed activity. The Examiner further alleged that the absent of sufficient recitation of distinguishing identifying characteristics, the specification does not provide adequate written description of the claimed genus. Only polynucleotides comprising the nucleic acid sequence set forth in SEQ ID NO: 1 and SEQ ID NO: 3 meets the written description requirement of 35 U.S.C. §112, first paragraph.

In response to the Examiner's rejection, but without conceding the correctness thereof, applicants point out that they have canceled claims 2 and 3 without disclaimer or prejudice, and

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amended claims 1, 4, 5, 6, 7, 11, and 12.

In light of the cancellation of claims 2 and 3, and the amendments made, applicants maintain that now pending claims 1, 4-7, 11 and 12 satisfy the requirements of 35 U.S.C. §112, first paragraph, and respectfully request that the Examiner reconsider and withdraw this ground of rejection.

**Claim Rejections Under 35 U.S.C. §112, Second Paragraph**

The Examiner rejected claims 1, 8 and 9 under 35 U.S.C. §112, second paragraph, as allegedly indefinite for failing to particularly point out and distinctly claim the subject matter which applicants regard as the invention. Specifically, the Examiner alleged that claim 1 is indefinite insofar as it employs the term STR50. The Examiner indicated that the term appears to be novel to the instant invention but the Examiner alleged that without reference to a precise amino acid sequence identified by a proper SEQ ID NO: one cannot determine the metes and bounds of STR50. The Examiner further alleged that because the instant specification does not identify that property or combination of properties which is unique to and, therefore, definitive of a STR50, an artisan cannot determine if a compound which meets all the limitations of a claim would then be included or excluded from the claimed subject matter by the presence of the limitation. The Examiner further alleged that claims 8 and 9 are indefinite for being dependent from an indefinite claim.

In response to the Examiner's rejection, but without conceding the correctness thereof, applicants have amended claim 1 and have replace "STR50" with "a polypeptide the sequence of which is set forth in SEQ ID NO:2 or SEQ ID NO:4". By this amendment, claim 1 now recites precise amino acid sequences, namely, SEQ ID NO:2 and SEQ ID NO:4. Claims which depend from amended claim 1 also now

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recite the precise amino acid sequences recited in claim 1, i.e. SEQ ID NO:2 or SEQ ID NO:4.

In light of the amendments to the claims and the above remarks, applicants maintain that now pending claims 1, 4-9, 11 and 12 satisfy the requirements of 35 U.S.C. §112, second paragraph, and respectfully request that the Examiner reconsider and withdraw this ground of rejection.

**Claim Rejection Under 35 U.S.C. §102**

The Examiner rejected claims 11 and 12 under 35 U.S.C. §102(e) as allegedly anticipated by Young et al., U.S. Patent No. 6,525,174, 2003 filed December 4, 1998. The Examiner asserted that claims 11 and 12 encompass fragments of polynucleotides of SEQ ID NO: 1 and SEQ ID NO: 3 as short as 10 consecutive nucleotides. The Examiner further indicated that the patent of Young et al. discloses nucleotide sequences that have at least 29.6% sequence similarity, with 98.2% local similarity to the instant SEQ ID NO: 3 and therefore Young et al. anticipate the instant claimed invention of claims 11 and 12.

In response to the Examiner's rejection, applicants respectfully traverse. The reference that the Examiner relies upon is no longer relevant because the sequences of Young et al. are not encompassed by claims 11 and 12 as amended. The sequence in Young et al. referred to by the Examiner commences at nucleotide 1326. The instant claims refer to nucleotides 1-922 (SEQ ID NO: 2) and 1-766 (SEQ ID NO: 3).

In light of the above remarks, applicants maintain that amended claims 11 and 12 are not subject to rejection under 35 U.S.C. §102(e), and respectfully request that the Examiner reconsider and withdraw this ground of rejection.

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**Supplemental Information Disclosure Statement**

In order to ensure compliance with applicants' duty of disclosure under 37 C.F.R. §1.56 and §1.97(a)-(d), applicants submit this Information Disclosure Statement as a supplement to the Information Disclosure Statement filed on January 26, 2004. Applicants request that the following document be considered:

1. Letter from Andrew Chin dated April 12, 2004, referring to Andrew Chin's CD-ROM entitled "On the preparation and utilization of isolated and purified oligonucleotides," which Mr. Chin alleges he produced on March 9, 2002 and contributed to a public collection of the Kathrine R. Everett Law Library of the University of North Carolina on March 14, 2002.

A copy of document number 1 is attached hereto as **Exhibit 1**.

According to applicants who have reviewed Mr. Chin's CD-ROM, it contains at least 30,000 pages of printed material which purportedly relate to oligonucleotides between 8 and 12 nucleotides in length, whereas applicants' claimed invention now recites oligonucleotides at least 15 nucleotides in length. Applicants understand that Mr. Chin's CD-ROM cannot be submitted to the Patent Office, but are prepared to submit it if the Examiner so desires.

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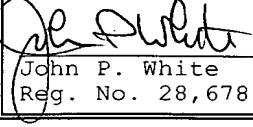
Summary

For the reasons set forth hereinabove, applicants respectfully request that the Examiner reconsider and withdraw the various grounds of rejection set forth in the July 17, 2006 Office Action, and earnestly solicit allowance of the claims as amended herein.

If a telephone interview would be of assistance in advancing prosecution of the subject application, applicants' undersigned attorney invites the Examiner to telephone him at the number provided below.

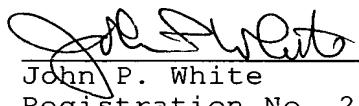
No fee, other than the enclosed \$450.00 fee for a two-month extension of time is deemed necessary in connection with the filing of this Amendment and Supplemental Information Disclosure Statement. However, if any additional fee is required, authorization is hereby given to charge the amount of such fee to Deposit Account No. 03-3125.

I hereby certify that this correspondence is being deposited this date with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to:  
Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

  
John P. White  
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Date

Respectfully submitted,

  
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# EXHIBIT A



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REPLACEMENT SHEET

**Figure 1A – Human STR\_50E1 – SEQ ID NO:1**

**Nucleotide sequence of long splice variant**

**[initiation ATG and stop codons are underlined]**

GGGCTCCCTG CACAAATGCG TTGGGTGATG GGGGCTGAAT CCAGCCCACA CTGCACTTGC CAAGCCAGCT 70  
GGGGCCCTGG CACAAGACAG TCCCAGCCTG TTTTCACTGA CTTTGCTAAT TCTCACGGAG GCACCATGTG 140  
GTGTGGGAAG GCCCGGTCTT CGTAACCTCT CTGCTCCCAG GTCCCTGACC AGTCCTTAAC ACACAGTGGT 210  
CTTTGCTCAC CTGGGGCCCA GCTCTGGGCT CTCCCCACAG CATCCTTGC CTTGCCTCCC TCCCATCTTC 280  
CTCTGGGCCT TCTCTCTGCT CCTGCCAGG AAACATGTGCT CTCAGGAGCG CAGGAGCCAG CTCTCAGCCC 350  
CCATCTCCTG GGCACTCACC GTACTCAGGA AATATGTTCT GAATTCAAGGA TTATCCTCAT TCTACTGAGA 420  
AGACCTGGAG GACAGAAATC AGCAAGACCT AAAGGGGAGA GGAAGGAGGG CCAGGCTGGG GTGGAGGTGC 490  
CCCACCCGGG AGCCCGGGCG CAGCCTCACC GCAGGCTGAT TCACAGAAGG CTCAGAGGGT TGCGAGGGCC 560  
CAATCGGCAC TGTCACTCCTG CCCAGGCTCT GAGTCACCAAG CTGGTGAGGG GCAGCTGCAG CCCAGCAGGA 630  
AACAAAGTCT AGCATGGAAG AGGTGGGAGG GAGGTGGTGG GGCTGAAAC CCCGCCTGGC TGGCCTTAGA 700  
GGAACGTGGGA GTGACTGTCC GCCACTGGCT CAGCAGCAAA CAGCTCTCAA GGACGTGCTA GGAGTCAGGA 770  
ACTGGGCCAG CTCCGGTCCC TTCCCTTTGG GGCTCTCACT CTGGAGGATG GGGTGGATGG GAGGTCAGAG 840  
GAGCACCAGC CTATGGCCCT GGACACCTGG GGTATTCAGC GAGTCCTGG AGGACGGTGG GATGGGGCTG 910  
TGGTTCCAGC AAGAAAAAAC CGGGAAAGATC CTGACGGAGT TCCTCCAGTT CTATGAAGAC CAGTATGGCG 980  
TGGCTCTCTT CAACAGCATG CGCCATGAGA TTGAGGGCAC GGGGCTGCCG CAGGCCAGC TGCTCTGGCG 1050  
CAAGGTGCCA CTGGACGAGC GCATCGTCTT CTCGGGAAC CTCTTCCAGC ACCAGGAGGA CAGCAAGAAG 1120  
TGGAGAAACC GCTTCAGCCT CGTGCCCCAC AACTACGGGC TGTTGCTCTA CGAAAACAAA GCGGCCTATG 1190  
AGGGCAGGT CCCACCAACGA GCCGTACATCA ACAGTGCAGG CTACAAAATC CTCACGTCCG TGGACCAATA 1260  
CCTGGAGCTC ATTGGCAACT CCTTACCAAGG GACCACGGCA AAGTCGGCA GTGCCCCCAT CCTCAAGTGC 1330  
CCCACACAGT TCCCGCTCAT CCTCTGGCAT CCTTATGCGC GTCACTACTA CTTCTGCATG ATGACAGAAG 1400  
CCGAGCAGGA CAAGTGGCAG GCTGTGCTGC AGGACTGCAT CCGGCAGTGC AACAAATGGAA TCCCTGAGGA 1470  
CTCCAAGGTA GAGGGCCCTG CGTTCACAGA TGCCATCCGC ATGTACCGAC AGTCCAAGGA GCTGTACGGC 1540  
ACCTGGGAGA TGCTGTGTGG GAACGAGGTG CAGATCCTGA GCAACCTGGT GATGGAGGAG CTGGGCCCTG 1610  
AGCTGAAGGC AGAGCTCGGC CCGCGGCTGA AGGGGAAACC GCAGGAGCGG CAGCGGCAGT GGATCCAGAT 1680  
CTCGGACGCC GTGTACCACA TGTTGCTACGA GCAGGCCAAG GCGCGCTTCG AGGAGGTGCT GTCCAAGGTG 1750  
CAGCAGGTGC AGCCGGCCAT GCAGGCCGTC ATCCGAACATG ACATGGACCA AATTATCACC TCCAAGGAGC 1820  
ACCTTGCCAG CAAGATCCGA GCCTTCATCC TCCCCAAGGC AGAGGTGTGC GTGCGGAACC ATGTCCAGCC 1890  
CTACATCCCA TCCATCCTGG AGGCCCTGAT GGTCCCCACC AGCCAGGGCT TCACTGAGGT GCGAGATGTC 1960  
TTCTTCAGG AGGTACCGGA CATGAACCTG AACGTACATCA ACGAGGGCGG CATTGACAAG CTGGCGAGT 2030

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**REPLACEMENT SHEET**

**Figure 1B – Human STR\_50E1 – SEQ ID NO:1**

ACATGGAGAA GCTGTCCCGG CTGGCGTACC ACCCCCTGAA GATGCAGAGC TGCTATGAGA AGATGGAGTC 2100  
GCTGCGACTG GACGGGCTGC AGCAGCGATT TGATGTGTCC AGCACGTCG TGTTCAAGCA GCGAGCCCAG 2170  
ATCCACATGC GGGAGCAAAT GGACAATGCC GTGTATACTG TCGAGACCCCT CCTGCACCAG GAGCTGGGA 2240  
AGGGGCCAC CAAGGAGGAG CTGTGCAAGT CCATCCAGCG GGTCCCTGGAG CGGGTGCTGA AAAAATACGA 2310  
CTACGACAGC AGCTCTGTGC GGAAGAGGTT CTTCCGGGAG GCGCTGCTGC AGATCAGCAT CCCGTTCCCTG 2380  
CTCAAGAACG TGGCCCCCTAC CTGCAAGTCG GAGCTGCCCG GGTCCAGGA GCTGATCTTC GAGGACTTTG 2450  
CAGGTTCAT CCTGGTGGAA AACACGTACG AGGAGGTGGT GCTGCAGACC GTCATGAAGG ACATCCTGCA 2520  
GGCTGTGAAG GAGGCCGCGG TGCAGAGGAA GCACAACCTC TACCGGGACA GCATGGTCAT GCACAACAGC 2590  
GACCCCAACC TGCACCTGCT GGCGCAGGGC GCCCCCATCG ACTGGGGCGA GGAGTACAGC AACAGCGGCG 2660  
GGGGCGGAG CCCCAGCCCC AGCACCCCGG AGTCAGCCAC CCTCTCGGAA AAGCGACGGC GCGCCAAGCA 2730  
GGTGGTCTCT GTGGTCCAGG ATGAGGAGGT GGGGCTGCCCT TTTGAGGCTA GCCCTGAGTC ACCACCAACCT 2800  
GGTCCCCGGG ACGGTGTAC TGAGATCCGA GGCCTGCTGG CCCAAGGTCT GCGGCCTGAG AGCCCCCAC 2870  
CAGCCGGCCC CCTGCTCAAC GGGGCCCCCG CTGGGGAGAG TCCCCAGCCT AAGGCCGCC CCGAGGCCTC 2940  
CTCGCCGCCT GCCTCACCCCC TCCAGCATCT CCTGCCTGGA AAGGCTGTGG ACCTTGGGCC CCCCCAGCCC 3010  
AGCGACCAGG AGACTGGAGA GCAGGGTGTCC AGCCCCAGCA GCCACCCCGC CCTCCACACC ACCACCGAGG 3080  
ACAGTGCAGG GGTGCAGACT GAGTTCTAGG CCAGTGGTC CCTGACTGCT GCACATGGCA CAGGCCGTT 3150  
CCTTCCGGAC CCAGGCAGGC TCAGCTCTGG GGAGGGCACC CTGGTCTGTG CCTTGTGGGT GGAGGCGGG 3220  
CAGGGCTGTG TGGCACCGCC AGGGAGCGGG CCCACCTGAG TCACTTTATT GGGTCAGTC AACACTTCT 3290  
TGCTCCCTGT TTTCTCTTCT GTGGGATGAT CTCAGATGCA GGGGCTGGTT TTGGGGTTTT CCTGTTGTG 3360  
CCAAGGGCTG GACACTGCTG GGGGGCTGGA AAGCCCTCC CTTCCGTGCC TTCTGTGGCC TCCATCCCT 3430  
CATGGGTGCT GCCATCCTTC CTGGAGAGAG GGAGGTGAAA GCTGGTGTGA GCCCAGTGGG TTCCCGCCCA 3500  
CTCACCCAGG AGCTGGCTGG GCCAGGACCG GGAGAGGGAG CACTGCTGCC CTCCGGCCC TGCTCCTTCC 3570  
GCAGTTAGGG GTGGACCGAG CCTCGCTTTC CCCACTGTTG TGGAGGGAAAG GGGAGGAGG GGGTCTTCAG 3640  
GCTGGAGCCA GGCTGGGGGT GCTGGGTGGA GAGATGAGAT TTAGGGGGTG CCTCATGGGG TGGGCAGGCC 3710  
TGGGGTGAAA TGAGAAAGGC CCAGAACGTG CAGGTCTGCG GAGGGAAAGT GTCTGAGTG AAGGAGGGGA 3780  
CCCCATCCTG GGGATGCTGG GAGTGAGTGA GTGAGATGGC TGAGTGAGGG TTATGGGGAG CCTGAGGTTT 3850  
TATGGGCCTG TGTATCCCT TCTCCGGCC CCAGCCTGCC TCCCTCCTGC CCCGCTGGCC CACAGGTCTC 3920  
CCTCTGGTCC CTGTCCCTCT GGTGGTTGGG GATGGAGCGG CAGCAAGGGG TGTAATGGGG CTGGGTTCTG 3990  
TCTTCTACAG GCCACCCCGA GGTCTCAGT GGTTGCCTGG GGAGCCGGAC GGGGCTCCTG AGGGGTACAG 4060  
GTTGGGTGGG CCCTCCCTGA GGGTCTGGGG TCAGGCTTTG GCCTCTGCTG CCTCTCAGTC ACCAAGTCAC 4130  
CTCCCTCTGA AAATCCAGTC CCTCTTTGG ATGTCCTTGT GAGTCACTCT GGGCCTGGCT GTCGTCCCTC 4200

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**REPLACEMENT SHEET**

**Figure 1C – Human STR\_50E1 – SEQ ID NO:1**

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TCAGCTTCT TGTTCTGGG ACAAGGGTCA AGCCAGGATG GGCCCAGGCN TGGGATCCCC CACCCAGGA 4270
CCCCACAGGC CCCCTCCCCT GNTGNTTTGC GGGGGGCAGG GCAGAAATGG ACTCCTTTG GGTCCCCGAG 4340
GTGGGGTCCC CTCCCAGCCC TGCATCCTCC GTGCCCTAGA CCTGCTCCCC AGAGGAGGGG CCTTGACCCA 4410
AGGAAGTGT GGTGGCGCCT GGCAATCAGG GACCCCCAGC TGCCGCAGCC CTGGTTTTG GCGCATCTT 4480
CCCCCTTGTC CCCGAAGATT TGCGCCTTTA GTGCCCTTTG AGGGGTTCCC ATCATCCCTC CCTGATATTG 4550
TATTGAAAAT ATTATGCACA CTGTTCATGC TTTTACTAAT CAATAAACGC TTTATTTAAA AAAAAAAAAA 4620
AAA 4623
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# EXHIBIT B

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**REPLACEMENT SHEET**

**Figure 3A – Human STR\_50E1 – SEQ ID NO:3**

**Nucleotide sequence of short splice variant**

**(Initiation ATG and stop codons are underlined)**

GGGCTCCCTG CACAAATGCG TTGGGTGATG GGGGCTGAAT CCAGCCCACA CTGCACTTGC CAAGCCAGCT 70  
GGGGCCCTGG CACAAGACAG TCCCAGCCTG TTTTCACTGA CTTTGCTAAT TCTCACGGAG GCACCATGTG 140  
CTGTGGGAAG GCCCCGTCT CGTAACCTCT CTGCTCCCAG GTCCCTGACC AGTCCTTAAC ACACAGTGGT 210  
CTTGCTCAC CTGCGGCCCA GCTCTGGCT CTCCCCACAG CATCCTTGC CTTGCCTCCC TCCCATCTTC 280  
CTCTGGGCCT TCTCTCTGCT CCTGCCCAGG AAACCTGTGCT CTCAGGAGCG CAGGAGCCAG CTCTCAGCCC 350  
CCATCTCCG GGCACTCACC GTACTCAGGA AATATGTCT GAATTCAAGGA TTATCCTCAT TCTACTGAGA 420  
AGACCTGGAG GACAGAAATC AGCAAGACCT AAAGGGGAGA GGAAGGGAGG CCAGGCTGGG GTGGAGGTGC 490  
CCCCACCCGGG AGCCCCGGCG CAGCCTCACC GCAGGCTGAT TCACAGAAGG CTCAGAGGGT TGCGAGGGCC 560  
CAATCGGCAC TGTCATCCTG CCCAGGCTCT GAGTCACCAAG CTGGTGAGGG GCAGCTGCAG CCCAGCAGGA 630  
AACAAAGTCT AGCATGGAAG AGGTGGGAGG GAGGTGGTGG GGCCTGAAAC CCCGCCTGGC TGGCCTTAGA 700  
GGAACTGGGA GTGACTGTCC GGCACGGCT CAGCAGCAAA CAGCTCTCAA GGACGTGCTA GGAGTCAGGA 770  
ACTGGGCCAG CTCCGGTCCC TTCCCTTTGG GGCTCTCACT CTGGAGGATG GGGTGGATGG GAGAAAAAAC 840  
CGGGAAAGATC CTGACGGAGT TCCTCCAGTT CTATGAAGAC CAGTATGGCG TGGCTCTCTT CAACAGCATG 910  
CGCCATGAGA TTGAGGGCAC GGGGCTGCCG CAGGCCAGC TGCTCTGGCG CAAGGTGCCA CTGGACGAGC 980  
GCATCGTCTT CTCGGGAAC CTCTTCCAGC ACCAGGAGGA CAGCAAGAAG TGGAGAAACC GCTTCAGCCT 1050  
CGTCCCCAC AACTACGGGC TGGTGCTCTA CGAAAACAAA GCGGCCTATG AGCGGCAGGT CCCACCACGA 1120  
GCCGTCATCA ACAGTGCAGG CTACAAAATC CTCACGTCCG TGGACCAATA CCTGGAGCTC ATTGGCAACT 1190  
CCTTACCAAG GACCACGGCA AAGTCGGGCA GTGCCCTCAT CCTCAAGTGC CCCACACAGT TCCCGCTCAT 1260  
CCTCTGGCAT CCTTATGCGC GTCACTACTA CTTCTGCATG ATGACAGAAG CCGAGCAGGA CAAGTGGCAG 1330  
GCTGTGCTGC AGGACTGCAT CCGGCAGTGC ACAAATGGAA TCCCTGAGGA CTCCAAGGTA GAGGGCCCTG 1400  
CGTTCACAGA TGCCATCCGC ATGTACCGAC AGTCCAAGGA GCTGTACGGC ACCTGGAGA TGCTGTGTGG 1470  
GAACGAGGTG CAGATCCTGA GCAACCTGGT GATGGAGGAG CTGGGCCCTG AGCTGAAGGC AGAGCTCGGC 1540  
CCGGCGCTGA AGGGGAAACC GCAGGAGCGG CAGCGGCAGT GGATCCAGAT CTCGGACGCC GTGTACCA 1610  
TGGTGTACGA GCAGGCCAAG GCGCGCTTCG AGGAGGTGCT GTCCAAGGTG CAGCAGGTGC AGCCGGCCAT 1680  
GCAGGCCGTC ATCCGAAC TG ACATGGACCA AATTATCACC TCCAAGGAGC ACCTTGCCAG CAAGATCCGA 1750  
GCCTTCATCC TCCCCAAGGC AGAGGTGTGC GTGCGGAACC ATGTCCAGCC CTACATCCCA TCCATCCTGG 1820  
AGGCCCTGAT GGTCCCCACC AGCCAGGGCT TCACTGAGGT GCGAGATGTC TTCTTCAAGG AGGTCAAGGA 1890  
CATGAACCTG AACGTCACTA ACGAGGGCGG CATTGACAAG CTGGCGAGT ACATGGAGAA GCTGTCCGG 1960  
CTGGCGTACC ACCCCCTGAA GATGCAGAGC TGCTATGAGA AGATGGAGTC GCTGCGACTG GACGGGCTGC 2030

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**REPLACEMENT SHEET**

**Figure 3B – Human STR\_50E1 – SEQ ID NO:3**

AGCAGCGATT	TGATGTGTCC	AGCACGTCCG	TGTTCAAGCA	GCGAGCCCAG	ATCCACATGC	GGGAGCAAAT	2100
GGACAATGCC	GTGTATACTGT	TCGAGACCCCT	CCTGCACCCAG	GAGCTGGGGA	AGGGGCCAC	CAAGGAGGAG	2170
CTGTGCAAGT	CCATCCAGCG	GGTCCTGGAG	CGGGTGCTGA	AAAAATACGA	CTACGACAGC	AGCTCTGTGC	2240
GGAAGAGGTT	CTTCCGGGAG	GCGCTGCTGC	AGATCAGCAT	CCCGTTCCCTG	CTCAAGAACG	TGGCCCTAC	2310
CTGCAAGTCG	GAGCTGCCCG	GGTTCCAGGA	GCTGATCTTC	GAGGACTTTG	CCAGGTTCAT	CCTGGTGGAA	2380
AAACACGTACG	AGGAGGGTGGT	GCTGCAGACC	GTCATGAAGG	ACATCCTGCA	GGCTGTGAAG	GAGGCCGCGG	2450
TGCAGAGGAA	GCACAACCTC	TACCGGGACA	GCATGGTCAT	GCACAACAGC	GACCCCAACC	TGCACCTGCT	2520
GGCCGAGGGC	GCCCCCATCG	ACTGGGGCGA	GGAGTACAGC	AACAGCGGCG	GGGGCGGCAG	CCCCAGCCCC	2590
AGCACCCCGG	AGTCAGCCAC	CCTCTCGGAA	AAGCGACGGC	GCGCCAAGCA	GGTGGTCTCT	GTGGTCCAGG	2660
ATGAGGAGGT	GGGGCTGCC	TTTGAGGCTA	GCCCTGAGTC	ACCACCCACCT	GGTCCCCCGG	ACGGTGTAC	2730
TGAGATCCGA	GGCCTGCTGG	CCCAAGGTCT	GCGGCCTGAG	AGCCCCCCCAC	CAGCCGGCCC	CCTGCTCAAC	2800
GGGGCCCCCG	CTGGGGAGAG	TCCCCAGCCT	AAGGCCGCCC	CCGAGGCCTC	CTCGCCGCCT	GCCTCACCCCC	2870
TCCAGCATCT	CCTGCCTGGA	AAGGCTGTGG	ACCTTGGGCC	CCCCAAGCCC	AGCGACCAGG	AGACTGGAGA	2940
GCAGGTGTCC	AGCCCCAGCA	GCCACCCCGC	CCTCCACACC	ACCACCGAGG	ACAGTGCAGG	GGTGCAGACT	3010
<u>GAGTTCTAGG</u>	CCAGTGGTC	CCTGACTGCT	GCACATGGCA	CAGGCCGTTC	CCTTCCGGAC	CCAGGCAGGC	3080
TCAGCTCTGG	GGAGGGCACC	CTGGTCTGTG	CCTTGTGGGT	GGAGGCAGGG	CAGGGCTGTG	TGGCACCGCC	3150
AGGGAGCGGG	CCCACCTGAG	TCACTTTATT	GGGTCAGTC	AACACTTCT	TGCTCCCTGT	TTTCTCTTCT	3220
GTGGGATGAT	CTCAGATGCA	GGGGCTGGTT	TTGGGGTTTT	CCTGCTGTG	CCAAGGGCTG	GACACTGCTG	3290
GGGGGCTGGA	AAGCCCCCTCC	CTTCCTGTCC	TTCTGTGCC	TCCATCCCCT	CATGGGTGCT	GCCATCCTTC	3360
CTGGAGAGAG	GGAGGTGAAA	GCTGGTGTGA	GCCCAGTGGG	TTCCCGCCCA	CTCACCCAGG	AGCTGGCTGG	3430
GCCAGGACCG	GGAGAGGGAG	CACTGCTGCC	CTCCTGGCCC	TGCTCCTTCC	GCAGTTAGGG	GTGGACCGAG	3500
CTCGCTTTC	CCCACGTGTC	TGGAGGGAAAG	GGGAAGGAGG	GGCTCTTCAG	GCTGGAGCCA	GGCTGGGGGT	3570
GCTGGGTGGA	GAGATGAGAT	TTAGGGGTG	CCTCATGGGG	TGGGCAGGCC	TGGGGTGAAA	TGAGAAAGGC	3640
CCAGAACGTG	CAGGTCTGCG	GAGGGGAAGT	GTCCTGAGTG	AAGGAGGGGA	CCCCATCCTG	GGGATGCTGG	3710
GAATGAGTGA	GTGAGATGGC	TGAGTGAGGG	TTATGGGGAG	CCTGAGGTTT	TATGGGCCTG	TGTATCCCCT	3780
TCTCCCGGCC	CCAGCCTGCG	TCCCTCCTGC	CCGCCTGGCC	CACAGGTCTC	CCTCTGGTCC	CTGTCCCTCT	3850
GGTGGTTGGG	GATGGAGCGG	CAGCAAGGGG	TGTAATGGGG	CTGGGTTCTG	TCTTCTACAG	GCCACCCCGA	3920
GGTCCTCAGT	GGTTGCCTGG	GGAGCCGGAC	GGGGCTCCCTG	AGGGGTACAG	GTTGGGTGGG	CCCTCCCTGA	3990
GGGTCTGGGG	TCAGGCTTTG	GCCTCTGCTG	CCTCTCAGTC	ACCAAGTCAC	CTCCCTCTGA	AAATCCAGTC	4060
CCTTCTTGG	ATGTCCTTGT	GAGTCACTCT	GGGCCTGGCT	GTCGTCCCTC	CTCAGCTTCT	TGTTCCCTGGG	4130
ACAAGGGTCA	AGCCAGGATG	GGCCAGGCN	TGGGATCCCC	CACCCAGGA	CCCCACAGGC	CCCCCTCCCC	4200

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REPLACEMENT SHEET**

**Figure 3C – Human STR\_50E1 – SEQ ID NO:3**

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GN TGN TTGC GGGGGCAGG GCAGAAATGG ACTCCTTTG GGTCCCCGAG GTGGGGTCCC CTCCCAGCCC 4270
TGCAT CCTCC GTGCCCTAGA CCTGCTCCCC AGAGGAGGGG CCTTGACCCA CAGGAAGTGT GGTGGGCCT 4340
GGCAATCAGG GACCCCCAGC TGCCGCAGCC CTGGTTTG GCGCATCTT TCCCTCTTGT CCCGAAGATT 4410
TGCGCCTTA GTGCCTTTG AGGGGTTCCC ATCATCCCTC CCTGATATTG TATTGAAAAT ATTATGCACA 4480
CTGTTCATGC TTTTACTAAT CAATAAACGC TTTATTTAAA AAAAAAAA AAA 4533
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# EXHIBIT 1



School of Law

April 12, 2004

Re: U.S. Patent Application No. 10/612,318  
Sylvia G. Kachalsky et al., "STR50 and uses thereof,"  
Attorney Docket No. 2094/0878/67656-A/JPW/FHB

Sylvia G. Kachalsky, Inventor  
c/o John P. White  
Cooper & Dunham LLP  
1185 Avenue of the Americas  
New York NY 10036

Dear Ms. Kachalsky:

I am writing to call your attention to a printed publication that may constitute material prior art with respect to the above-referenced patent application.

Enclosed please find a copy of a CD-ROM document entitled "On the preparation and utilization of isolated and purified oligonucleotides," which I produced on March 9, 2002 and contributed to the public collection of the Kathrine R. Everett Law Library of the University of North Carolina on March 14, 2002.

For your convenience, I have also enclosed a hard copy of the initial portion of the text file stored on that CD-ROM. As you can ascertain from that excerpt, the CD-ROM reference contains a full written description of several million oligonucleotides of between 8 and 12 nucleotides in length inclusive, together with methods of making and using each.

I believe that the reference is material prior art at least with respect to one or more claims of the above-referenced application. Accordingly, I would recommend that the attorney or agent handling this application promptly disclose this reference to the Patent Office. As a courtesy, I would appreciate a written acknowledgement that he or she has done so.

If you wish to discuss this matter, I can be reached at the above phone number or by email at [chin@unc.edu](mailto:chin@unc.edu).

Sincerely yours,

*Andrew Chin*

Andrew Chin  
Associate Professor

## On the Preparation and Utilization of Isolated and Purified Oligonucleotides

Andrew Chin

University of North Carolina School of Law

March 9, 2002

The term "isolated" as used herein refers to a nucleotide sequence that has been manually produced and is separated from its native, *in vivo*, cellular environment and is present in the substantial absence of other biological molecules of the same type. The term "purified" as used herein for nucleotide sequences preferably means lacking significant quantities of other biological macromolecules of the same type (but water, buffers, and other small molecules, can be present).

### Preparation of Isolated and Purified Oligonucleotides

As described in U.S. Patent No. 5,808,022 (issued Sept. 15, 1998) (William D. Huse), oligonucleotide synthesis proceeds via linear coupling of individual monomers in a stepwise reaction. The reactions are generally performed on a solid phase support by first coupling the 3' end of the first monomer to the support. The second monomer is added to the 5' end of the first monomer in a condensation reaction to yield a dinucleotide coupled to the solid support. At the end of each coupling reaction, the by-products and unreacted, free monomers are washed away so that the starting material for the next round of synthesis is the pure oligonucleotide attached to the support. In this reaction scheme, the stepwise addition of individual monomers to a single, growing end of an oligonucleotide ensures accurate synthesis of the desired sequence. Moreover, unwanted side reactions are eliminated, such as the condensation of two oligonucleotides, resulting in high product yields.

Oligonucleotides are constructed by conventional procedures such as those described in J. Sambrook et al., *Molecular Cloning: A Laboratory Manual* 10.42-.46 (3rd ed. 2001); K. Itakura et al., *Synthesis and Use of Synthetic Oligonucleotides*, 53 *Ann. Rev. Biochemistry* 323 (1984); M.D. Matteucci & M.H. Caruthers, *Synthesis of Deoxynucleotides on a Polymer Support*, 103 *J. Am. Chem. Soc'y* 3185 (1981); S.A. Narang, *DNA Synthesis*, 39 *Tetrahedron* 3 (1983). Oligonucleotide chains up to about 70 nucleotide residues long are preferably synthesized on automated synthesizers well known in the art (such as the Beckman Oligo 1000 or the Applied Biosystems ABI 392 DNA Synthesizer). Present-day DNA synthesizers are so efficient that oligonucleotides up to about 25 nucleotides in length generally do not contain significant quantities of truncated DNA fragments and hence do not require purification by gel electrophoresis. If necessary, however, purification of synthetic oligonucleotides can be achieved by one of several methods, as described in J. Sambrook, *supra*, at 10.48-49; including denaturing polyacrylamide gel electrophoresis, as described in J. Sambrook, *supra*, at 10.11-.16; T. Atkinson & M. Smith, *Solid-Phase Synthesis of Oligodeoxyribonucleotides by the Phosphate-Triester Method*, in *Oligonucleotide Synthesis: A Practical Approach* 35-82 (M.J. Gait ed. 1984).

### Utilization of Oligonucleotides

As described in U.S. Patent No. 6,316,191 (issued Nov. 13, 2001) (Radoje T. Drmanac), hybridization depends on the pairing of complementary bases in nucleic acids and is a specific tool useful for the general recognition of informational polymers. Diverse research problems using hybridization of a synthetic oligonucleotide of known sequence include, amongst others, the different techniques of identification of specific clones from cDNA and genomic libraries, detecting single base pair polymorphisms in DNA, generation of mutations by oligonucleotide mutagenesis, and the amplification of nucleic acids in vitro from a single sperm, an extinct organism, or a single virus infecting a single cell.

Synthetic oligonucleotides of arbitrary nucleotide sequence are utilized in biological research, wherein oligonucleotides of specified length and random nucleotide sequence are synthesized using known procedures such as those described in Huse, *supra*; U.S. Patent No. 5,639,595 (issued June 17, 1997) (Christopher K. Mirabelli et al.). Arbitrary oligonucleotide primers of specified length may be used in the synthesis of cDNA probes from mRNA as described in Sambrook, *supra*, at 9.38-40; J.G. Williams et al., DNA Polymorphisms Amplified By Arbitrary Primers Are Useful As Genetic Markers, 18 Nucleic Acids Research 6531 (1990), in the systematic evolution of ligands by exponential enrichment as described in U.S. Patent No. 6,331,398 (issued Dec. 18, 2001) (Larry Gold & Craig Tuerk); C. Tuerk & L. Gold, Systematic Evolution of High-Affinity RNA Ligands of Bacteriophage T4 DNA Polymerase in Vitro, 249 Science 505 (1990), and in sequencing by hybridization as described in Drmanac, *supra*. Preferably, oligonucleotide primers and probes are characterized by sequences of 8 to 20 nucleotides that have moderate G+C content, are free of homopolymeric runs and directly or inversely repeated regions.

The disclosures of all publications and patents set forth hereinbefore are expressly incorporated herein by reference.

### Sequence Listing

The listing of sequences set forth hereinafter consists of all sequences of 8 to 12 nucleotides that have between 40 and 60 percent G+C content and are free of homopolymeric runs of 4 or more bases and directly or inversely repeated regions of 4 or more bases. Based on the disclosures herein and the knowledge of a person of ordinary skill in the art, it will be apparent to such a person how to make and use an isolated and/or purified oligonucleotide characterized by any of the following nucleotide sequences: